

Summary of G.O. 96-B Rules for the Telecommunications Industry Advice Letter Types

Tiers ¹	GRC ILEC ²	URF Carriers ³	
<p>Tier 1</p> <p>No Staff or Commission approval required.</p> <p>Effective Date: for AL's - on date submitted or as requested by the utility (GO 96-B § 7.3.2)*</p> <p>* for contracts – effective on the date of execution.</p> <p>Protest period is within 20 calendar days from filing.</p> <p>No suspension (Staff can only reject or approve)</p> <p>Staff can reject the AL without prejudice if the AL is found not to have complied with the applicable customer notice requirements.</p> <p>Resolution not required.</p>	<p>7.1 (1) An editorial change to the text of a tariff that does not affect a rate, charge, term, or condition under the tariff.</p>		
	<p>7.1 (2) A change to the name of a product or service.</p>		
	<p>7.1 (3) A Compliance Advice Letter, unless the Commission order directing the submission of the advice letter specifies another tier.</p>		
	<p>7.1 (4) An exchange area boundary realignment that does not result in an increase to a rate or charge or in a more restrictive term or condition.</p>		
			<p>7.1 (5) A change to a rate, charge, term, or condition of a regulated service that are not more restrictive than existing terms (except for ILEC Basic Service rates).</p>
			<p>7.1 (6) A change to Resale Service (i) rate or charge linked to a tariffed service rate or charge by a discount adopted by the Commission (ii) term or condition approved by the Commission for the corresponding URF Carrier Service.</p>
			<p>7.1 (7) A New Service offering where it has full pricing flexibility. (See IR⁴ 8.3.)</p>
			<p>7.1 (8) A contract for a tariffed service</p>
			<p>7.1 (9) A Withdrawal or Freezing of Service (not including a Withdrawal or Freezing subject to IR 7.4(1)).</p>
			<p>7.1 (10) A new Promotional Offering for a tariffed service, or continuation of a tariffed Promotional Offering.</p>
		<p>7.1 (11) A new Promotional Offering, or continuation of a Promotional Offering for which there is a Commission-approved Promotional Platform. (See IR 7.3(6).)</p>	
		<p>7.1 (12) Emergency Service pursuant to GR⁵ 8.2.3.</p>	
		<p>7.1 (13) Price changes to special access service that are permitted to be filed in Tier 1, as ordered by the Commission</p>	
<p>Tier 2</p> <p>Staff approval required.</p>	<p>7.2 (1) A New Service (See IR 8.3.)</p>		
	<p>7.2 (2) A contract for a tariffed service (See IR 8.2.3, 8.2.4.)</p>		
		<p>7.2 (3) Detariffing (See IR 5, 5.1.)</p>	

¹ A Tier refers to a type of Advice Letter and the subject matter allowed to be addressed in the respective tier. (D.07-01-024, § 5.2)

² A GRC ILEC is carrier regulated under the Rate of Return regulation (D.07-01-024, Appendix A § 1.5)

³ An URF carrier is an ILEC that is regulated under URF, competitive local exchange carriers or Interexchange carriers. (D.07-01-024, Appendix A § 1.14)

⁴ IR (Industry Rule) D.07-0-019

⁵ GR (General Rule) D.07-01-024

Tiers ¹	GRC LEC ²	URF Carriers ³
<p>Effective Date: Upon Staff Approval. If Advice letter has not been suspended by the end of the 30-calendar days initial review period, the AL is deemed approved.</p> <p>Protest period is within 20 calendar days from filing.</p> <p>Resolution not required.</p>	<p>7.2 (4) A request to Transfer by a carrier other than a GRC-LEC or an URF Carrier that is an incumbent local exchange carrier. (See Industry Rule 8.6.2.)</p>	
	<p>7.2 (5) An advice letter otherwise appropriate to Tier 1 but for which the Utility submitting the advice letter requests review and disposition under Tier 2.</p>	
	<p>7.2 (6) Price changes to special access service that are permitted to be filed in Tier 2, as ordered by the Commission</p>	
<p>Tier 3</p> <p>Commission approval required.</p> <p>Effective Date: Upon Resolution approval</p> <p>Protest period is within 20 calendar days from filing.</p> <p>Resolution required</p>	<p>7.3 (1) A matter appropriate to an advice letter but not subject to review and disposition under Tier 1 or Tier 2. (See GR 5.1.)</p>	
	<p>7.3 (2) A negotiated interconnection agreement pursuant to Section 252 of the Telecommunications Act of 1996 (47 USC § 252). (See IR 8.1.)</p>	
	<p>7.3 (3) An exchange area boundary realignment which results in an increase to a rate or charge or in a reduction in service to existing customers, and has been noticed in compliance with IR 3 and 3.3 (as applicable).</p>	
	<p>7.3 (4) A change to a rate, charge, term, or condition which has been noticed in compliance with IR 3 and 3.3 (as applicable).</p>	
	<p>7.3 (5) An update regarding its allocation from the high cost fund.</p>	
	<p>7.3 (6) A Promotional Platform.</p> <p>7.3 (7) Except where review in a formal proceeding is required by IR 7.4(1), Withdrawal or Freezing of Service.</p>	
<p>Formal Proceeding</p> <p>Rules of Practice and Procedure, Article 2 & 3</p> <p>Commission approval required</p> <p>Protests must be filed within 30 days of the date the notice of the filing of the application first appears in the Daily Calendar.</p> <p>Decision required</p>	<p>Staff will reject without prejudice an advice letter that requests relief or raises issues requiring evidentiary hearing or otherwise requiring review in an <u>application, application for rehearing, or petition for modification.</u></p>	
	<p>7.4 (1) Withdrawal or Freezing of Resale Service or of Basic Service (or any service element thereof).</p>	
	<p>7.4 (2) A request for operating authority or for authority to expand service area.</p>	
	<p>7.4 (3) A request to Transfer subject to Commission review pursuant to PU Code § 854. Generally excludes NDIECs and CLCs (IR 7.2 (4)).</p>	
		<p>7.4 (4) A request to modify or cancel a provision, condition, or requirement imposed by the Commission in an enforcement, complaint, or merger proceeding. (See IR 5.)</p>